

20 April 2016, 10.30 to 12.30

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THEORETICAL PHYSICS 2

*Answer **all four** questions. Each question consists of 5 parts, worth 5 marks each. The paper contains seven sides and is accompanied by a booklet giving values of constants and containing mathematical formulae which you may quote without proof.*

You may not start to read the questions printed on the subsequent pages of this question paper until instructed that you may do so by the Invigilator.

## 1 The time-dependent Hamiltonian

$$H = -\frac{\hbar^2}{2I} \frac{d^2}{d\theta^2} - \hbar\eta \cos(\theta) \sum_{n=-\infty}^{\infty} \delta(t - nT)$$

describes the free motion of a particle of mass  $M$  on a ring of radius  $R$ , where  $I = MR^2$  is the moment of inertia, interrupted by ‘kicks’ at times  $t = 0, \pm T, \pm 2T, \dots$

(a) Show that the evolution operator from a time  $0+$  (that is, infinitesimally after time  $t = 0$ ) to a time  $NT+$  may be written as

$$U(0+ \rightarrow NT+) = (U_\eta U_T)^N, \quad (1)$$

where

$$U_T = \exp\left(\frac{i\hbar T}{2I} \frac{d^2}{d\theta^2}\right), \quad U_\eta = \exp(i\eta \cos \theta). \quad (2)$$

(b) By interpreting the function

$$\exp(ikr \cos \theta) \quad (3)$$

as a plane wave in 2D polar coordinates, show that it has the expansion

$$\exp(ikr \cos \theta) = \sum_{m=-\infty}^{\infty} a_m J_m(kr) e^{im\theta}, \quad (4)$$

where  $J_m(\rho)$  is the Bessel function satisfying

$$\rho^2 \frac{d^2}{d\rho^2} J_m + \rho \frac{d}{d\rho} J_m + (\rho^2 - m^2) J_m = 0, \quad (5)$$

and  $a_m$  are some coefficients to be determined below.

(c) Given the behaviour

$$J_m(\rho) \rightarrow \frac{\rho^m}{2^m m!} \text{ as } \rho \rightarrow 0, \quad (6)$$

find the coefficients  $a_m$  in the previous part.

(d) Find the expression for the action of  $U_\eta U_T$  on the Fourier components  $c_m$  of the wavefunction

$$\psi(\theta, t) = \sum_{m=-\infty}^{\infty} c_m(t) e^{im\theta} \quad (7)$$

(e) Show that if

$$\frac{\hbar T}{2I} = 2\pi, \quad (8)$$

then  $U_T$  has no effect on the time evolution. In this case, find the expectation value of the kinetic energy of the particle after  $N$  steps, assuming it starts in the  $m = 0$  ground state.

**Solution 1.** (a) This is just a question of evaluating  $U = \mathcal{T} \exp\left(-\frac{i}{\hbar} \int_{0^+}^{NT^+} H(t) dt\right)$  carefully. (b) We look for separable solutions of the Helmholtz equation

$$[\nabla^2 + k^2] \Phi(r, \theta) = 0, \quad (9)$$

where

$$\nabla^2 = \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2}.$$

Separable solutions are then shown to have the form  $\Phi(r, \theta) = J_m(kr) e^{im\theta}$ . The expansion of the plane wave then follows.

(c) This follows closely the 3D case. The key point is that a term  $(kr)^m e^{im\theta}$  arises only from the  $m^{\text{th}}$  order. Using the given asymptote and comparing coefficients then gives  $a_m = i^m$

(d) Applying  $U_\eta U_T$  to the given expansion

$$\begin{aligned} U_\eta U_T \psi(\theta, t) &= U_\eta \sum_m c_m \exp\left(im\theta - \frac{i\hbar T m^2}{2I}\right) \\ &= \sum_{m'=-\infty}^{\infty} i^{m'} J_{m'}(kr) e^{im'\theta} \sum_m c_m \exp\left(im\theta - \frac{i\hbar T m^2}{2I}\right). \end{aligned} \quad (10)$$

This shows that

$$c_m \rightarrow \sum_{m'} i^{m-m'} J_{m-m'} c_{m'} e^{-i\hbar T m'^2 / 2I}. \quad (11)$$

(e) When the given condition is satisfied  $U_T = \mathbb{1}$ , so that  $U = U_\eta^N$ . The expectation value of the energy is

$$\langle E \rangle = \frac{\hbar^2}{2I} \int_0^{2\pi} |\partial_\theta e^{i\eta N \cos \theta}|^2 \frac{d\theta}{2\pi} = \frac{(\hbar\eta N)^2}{2I} \int_0^{2\pi} \sin^2 \theta \frac{d\theta}{2\pi} = \frac{(\hbar\eta N)^2}{4I}, \quad (12)$$

showing that the energy increases quadratically with the number of steps. Parenthetically, moving away from resonance causes diffusion and eventually localization of the eigenstates.

2 A system consists of two spin-1/2 subsystems, labelled  $A$  and  $B$ , with states denoted by  $|s\rangle_{A,B}$ , with  $s = \uparrow, \downarrow$  corresponding to eigenvalues of the Pauli matrix  $\sigma_{A,B}^z$  equal to  $\pm 1$ .

A general state of the composite system may be written

$$|\chi\rangle = a_1 |\uparrow\rangle_A |\uparrow\rangle_B + a_2 |\uparrow\rangle_A |\downarrow\rangle_B + a_3 |\downarrow\rangle_A |\uparrow\rangle_B + a_4 |\downarrow\rangle_A |\downarrow\rangle_B \quad (13)$$

(a) Show that the reduced density matrix of the  $A$  subsystem  $\rho_A \equiv \text{tr}_B |\chi\rangle \langle \chi|$  is

$$\rho_A = \begin{pmatrix} |a_1|^2 + |a_2|^2 & a_1 a_3^* + a_2 a_4^* \\ a_1^* a_3 + a_2^* a_4 & |a_3|^2 + |a_4|^2 \end{pmatrix}. \quad (14)$$

(b) Consider the alternative basis of states

$$\begin{aligned} |1\rangle &= \frac{i}{\sqrt{2}} (|\uparrow\rangle_A |\downarrow\rangle_B + |\downarrow\rangle_A |\uparrow\rangle_B) \\ |2\rangle &= \frac{1}{\sqrt{2}} (|\uparrow\rangle_A |\uparrow\rangle_B + |\downarrow\rangle_A |\downarrow\rangle_B) \\ |3\rangle &= \frac{i}{\sqrt{2}} (|\uparrow\rangle_A |\uparrow\rangle_B - |\downarrow\rangle_A |\downarrow\rangle_B) \\ |4\rangle &= \frac{1}{\sqrt{2}} (|\uparrow\rangle_A |\downarrow\rangle_B - |\downarrow\rangle_A |\uparrow\rangle_B). \end{aligned} \quad (15)$$

Writing the general state now as

$$|\chi\rangle = b_1 |1\rangle + b_2 |2\rangle + b_3 |3\rangle + b_4 |4\rangle,$$

show that the determinant of  $\rho_A$  is

$$\det \rho_A = \frac{1}{4} \left| \sum_{i=1}^4 b_i^2 \right|^2. \quad (16)$$

Find the eigenvalues of the density matrix and the entanglement entropy  $S_A = -\text{tr} [\rho_A \log \rho_A]$ .

(c) What are the conditions satisfied by a matrix belonging to the Lie group  $SO(4)$ ? Explain why the matrices

$$\begin{aligned} \mathbf{L}_x &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \mathbf{L}_y = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \mathbf{L}_z = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \\ \mathbf{K}_x &= \begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \mathbf{K}_y = \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \mathbf{K}_z = \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}. \end{aligned} \quad (17)$$

form a basis for the Lie algebra  $so(4)$ .

(d) The algebra of these generators is

$$\begin{aligned} [\mathbf{L}_a, \mathbf{L}_b] &= \varepsilon_{abc} \mathbf{L}_c \\ [\mathbf{L}_a, \mathbf{K}_b] &= \varepsilon_{abc} \mathbf{K}_c, \\ [\mathbf{K}_a, \mathbf{K}_b] &= \varepsilon_{abc} \mathbf{L}_c. \end{aligned} \quad (\text{summation implied}) \quad (18)$$

Find the commutation relations satisfied by  $L_a^\pm \equiv (L_a \pm K_a)/2$  and interpret this result.

(e) By considering the action of  $\sigma_A^{x,y,z}$  on the states in part (b), explain why the entanglement entropy is independent of unitary transformations of the  $A$  spin only.

**Solution 2.** (a) We have

$$\begin{aligned} \rho_A = \text{tr}_B [(a_1 |\uparrow\rangle_A |\uparrow\rangle_B + a_2 |\uparrow\rangle_A |\downarrow\rangle_B + a_3 |\downarrow\rangle_A |\uparrow\rangle_B + a_4 |\downarrow\rangle_A |\downarrow\rangle_B) \\ (a_1^* \langle\uparrow|_A \langle\uparrow|_B + a_2^* \langle\uparrow|_A \langle\downarrow|_B + a_3^* \langle\downarrow|_A \langle\uparrow|_B + a_4^* \langle\downarrow|_A \langle\downarrow|_B)]. \end{aligned} \quad (19)$$

Taking the trace over the  $B$  states yields the answer.

(b) The determinant of  $\rho_A$  is  $|a_1 a_4 - a_2 a_3|^2$ . Finding  $a_i$  in terms of  $b_i$  yields the given answer.  $\det \rho_A = \lambda_+ \lambda_-$ , the product of the eigenvalues, and since  $\lambda_+ + \lambda_- = 1$ , we have

$$\lambda_\pm = \frac{1}{2} \left( 1 \pm \sqrt{1 - 4 \det \rho_A} \right).$$

The entanglement entropy is just  $S_A = -\lambda_+ \log \lambda_+ - \lambda_- \log \lambda_-$ . There's no need to write these expressions out in more detail.

(c) Matrix is  $4 \times 4$ , orthogonal with determinant  $+1$ . If  $M = \exp(\Lambda)$  satisfies this condition, then  $\Lambda$  is antisymmetric, and the given matrices form a basis for the antisymmetric matrices.

(d) This is just taking linear combinations to give

$$\begin{aligned} [\mathbf{L}_a^\pm, \mathbf{L}_b^\pm] &= \varepsilon_{abc} \mathbf{L}_c^\pm \\ [\mathbf{L}_a^\pm, \mathbf{L}_b^\mp] &= 0. \end{aligned} \quad (20)$$

Interpretation: this is two copies of the  $su(2) \sim so(3)$  algebra, showing that  $SO(4) \sim SU(2) \times SU(2)$

(e) The action of the three Pauli matrices is

$$\begin{aligned}
 \sigma_A^x \begin{pmatrix} |1\rangle \\ |2\rangle \\ |3\rangle \\ |4\rangle \end{pmatrix} &= \begin{pmatrix} 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \\ 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \end{pmatrix} \begin{pmatrix} |1\rangle \\ |2\rangle \\ |3\rangle \\ |4\rangle \end{pmatrix} \\
 \sigma_A^y \begin{pmatrix} |1\rangle \\ |2\rangle \\ |3\rangle \\ |4\rangle \end{pmatrix} &= \begin{pmatrix} 0 & 0 & i & 0 \\ 0 & 0 & 0 & i \\ -i & 0 & 0 & 0 \\ 0 & -i & 0 & 0 \end{pmatrix} \begin{pmatrix} |1\rangle \\ |2\rangle \\ |3\rangle \\ |4\rangle \end{pmatrix} \\
 \sigma_A^z \begin{pmatrix} |1\rangle \\ |2\rangle \\ |3\rangle \\ |4\rangle \end{pmatrix} &= \begin{pmatrix} 0 & 0 & 0 & i \\ 0 & 0 & -i & 0 \\ 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} |1\rangle \\ |2\rangle \\ |3\rangle \\ |4\rangle \end{pmatrix}
 \end{aligned} \tag{21}$$

This coincides with  $iL_{x,y,z}^-$ , showing that the transformations generated are elements of  $SO(4)$ . The crucial point is that such transformations leave the quadratic form  $\sum_{j=1}^4 a_j^2$  invariant. Hence the determinant of  $\rho_A$ , and the eigenvalues, are unchanged.

3 A Hamiltonian describing bosons with spin that can move between two sites is

$$H = t \overbrace{\sum_{s=\uparrow,\downarrow} (a_s^\dagger b_s + b_s^\dagger a_s)}^{H_t} + \mathcal{E}(\Delta) \quad (22)$$

where  $a_s^\dagger, a_s, b_s^\dagger, b_s$  are creation and annihilation operators for the A and B sites,  $N_a = \sum_{s=\uparrow,\downarrow} a_s^\dagger a_s$ ,  $N_b = \sum_{s=\uparrow,\downarrow} b_s^\dagger b_s$  and  $\mathcal{E}(N_a - N_b)$  is some function of the number difference  $\Delta \equiv N_a - N_b$ .

(a) Show that

$$\begin{aligned} [a_s^\dagger b_s, \mathcal{E}(\Delta)] &= (\mathcal{E}(\Delta - 2) - \mathcal{E}(\Delta)) a_s^\dagger b_s \\ [b_s^\dagger a_s, \mathcal{E}(\Delta)] &= (\mathcal{E}(\Delta + 2) - \mathcal{E}(\Delta)) b_s^\dagger a_s. \end{aligned} \quad (23)$$

[Hint: It might be easier to explain why  $a_s^\dagger b_s \mathcal{E}(\Delta) = \mathcal{E}(\Delta - 2) a_s^\dagger b_s$ ]

(b) A unitary transformation  $H \rightarrow H' \equiv e^S H e^{-S}$  is performed to remove  $H_t$  from the Hamiltonian at lowest order. Show that  $S$  must be chosen so that

$$[S, \mathcal{E}(\Delta)] = -H_t \quad (24)$$

(c) By taking  $S$  to have the form

$$S = f(\Delta) \sum_{s=\uparrow,\downarrow} a_s^\dagger b_s - \text{h.c.}, \quad (25)$$

(h.c. denotes the hermitian conjugate), find the function  $f(\Delta)$ .

(d) Show that the transformed Hamiltonian  $H'$  contains the term

$$H^{(2)} = \frac{1}{2} [S, H_t] \quad (26)$$

of order  $t^2$ . Evaluate the part of  $H^{(2)}$  that does not change the occupancy of the two sites, leaving your answer expressed in terms of  $f(\Delta)$ .

(e) Discuss how the form of  $H^{(2)}$  depends on whether  $\mathcal{E}(\Delta)$  is linear (no interactions between particles) or not.

**Solution 3.** (a) This follows from the fundamental relations

$$[a^\dagger, N_a] = -a^\dagger, \quad [a, N_a] = a \quad (27)$$

(b) We have

$$H' = e^S H e^{-S} = H + [S, H] + \frac{1}{2} [S, [S, H]] + \dots \quad (28)$$

The given equation eliminates  $H_t$  from the Hamiltonian.

(c) Plugging in the given form, we need to find

$$[f(\Delta)a_s^\dagger b_s, \mathcal{E}(\Delta)] = f(\Delta)(\mathcal{E}(\Delta - 2) - \mathcal{E}(\Delta))a_s^\dagger b_s. \quad (29)$$

from which we immediately get

$$f(\Delta) = -\frac{t}{\mathcal{E}(\Delta - 2) - \mathcal{E}(\Delta)}.$$

(d) At second order we have the terms

$$[S, H_t] + \frac{1}{2}[S, [S, \mathcal{E}(\Delta)]] = \frac{1}{2}[S, H_t],$$

where we use the relation defining  $S$ . The part which doesn't change the occupancy comes from the commutator

$$[f(\Delta)a_s^\dagger b_s, b_{s'}^\dagger a_{s'}] = \delta_{ss'} f(\Delta)\Delta + (f(\Delta) - f(\Delta + 2))b_{s'}^\dagger a_{s'} a_s^\dagger b_s. \quad (30)$$

The second order Hamiltonian is then

$$H^{(2)} = t^2 \left[ f(\Delta)\Delta + (f(\Delta) - f(\Delta + 2)) \sum_{s,s'} b_{s'}^\dagger a_{s'} a_s^\dagger b_s \right] \quad (31)$$

(e) The first term in  $H^{(2)}$  is always present. The second term requires that  $f(\Delta)$  is  $\Delta$  dependent, which means that it only appears if  $\mathcal{E}(\Delta)$  is nonlinear.



4 The Klein–Gordon equation is

$$\left[ \frac{1}{c^2} \frac{\partial^2}{\partial t^2} - \nabla^2 + \frac{m^2 c^2}{\hbar^2} \right] \Psi(\mathbf{r}, t) = 0. \quad (32)$$

(a) Explain some of the difficulties in interpreting  $\Psi(\mathbf{r}, t)$  as a wavefunction, in contrast to solutions of Schrödinger's equation.

(b) A vector potential is introduced by the replacement  $\nabla \rightarrow \nabla - ie\mathbf{A}/\hbar$ . Show that the equation obeyed by the amplitude  $\psi_{\mathbf{k}}(t)$  of a plane wave  $\Psi(\mathbf{r}, t) = \psi_{\mathbf{k}}(t)e^{i\mathbf{k}\cdot\mathbf{r}}$  in a spatially constant vector potential  $\mathbf{A}(t)$  is

$$\ddot{\psi}_{\mathbf{k}}(t) + \omega_{\mathbf{k}-e\mathbf{A}(t)/\hbar}^2 \psi_{\mathbf{k}}(t) = 0, \quad (33)$$

where you should state the form of  $\omega_{\mathbf{k}}$ .

(c) Since  $\mathbf{E} = -\dot{\mathbf{A}}$ , an electric field pulse at time  $t = 0$  is described by a vector potential

$$\mathbf{A}(t) = \begin{cases} 0 & t < 0 \\ \mathbf{A}_0 & t \geq 0 \end{cases} \quad (34)$$

Find the form of  $\psi_{\mathbf{k}}(t)$  at  $t > 0$  if at  $t < 0$

$$\psi_{\mathbf{k}}(t) = \sqrt{\frac{1}{2\omega_{\mathbf{k}}}} a_{\mathbf{k}} \exp(-i\omega_{\mathbf{k}}t), \quad (35)$$

[Hint: think of this as a 1D scattering problem]

(d) By interpreting the positive and negative frequency parts of the  $t > 0$  solution in terms of  $a_{\mathbf{k}}$  and  $b_{-\mathbf{k}}^\dagger$ , show that the vacuum condition

$$a_{\mathbf{k}} |\text{VAC}\rangle = 0, \quad t < 0 \quad (36)$$

becomes

$$\left( u_{\mathbf{k}} a_{\mathbf{k}} + v_{\mathbf{k}} b_{-\mathbf{k}}^\dagger \right) |\text{VAC}\rangle = 0, \quad t > 0, \quad (37)$$

where you should find  $u_{\mathbf{k}}$  and  $v_{\mathbf{k}}$ .

(e) Show that  $|\text{VAC}\rangle$  differs from the state with no  $t > 0$  particles or antiparticles by a factor

$$\mathcal{N} \prod_{\mathbf{k}} \exp\left(- (v_{\mathbf{k}}/u_{\mathbf{k}}) a_{\mathbf{k}}^\dagger b_{-\mathbf{k}}^\dagger\right) \quad (38)$$

with some normalization  $\mathcal{N}$ , and find the probability distribution of  $(\mathbf{k}, -\mathbf{k})$  particle-antiparticle pairs in terms of  $v_{\mathbf{k}}/u_{\mathbf{k}}$ .

**Solution 4.** (a) Negative frequency states; second order means initial  $\Psi$  not sufficient; physical meaning of  $\Pi = \partial_t \Psi$ ; probability nonconservation, etc.

(b) Straightforward substitution.  $\omega_{\mathbf{k}} = \sqrt{c^2 \mathbf{k}^2 + (mc^2/\hbar)^2}$

(c) We have to solve

$$\begin{aligned} \ddot{\psi}_{\mathbf{k}} + \omega_-^2 \psi_{\mathbf{k}} &= 0, & t < 0 \\ \ddot{\psi}_{\mathbf{k}} + \omega_+^2 \psi_{\mathbf{k}} &= 0, & t > 0 \end{aligned} \quad (39)$$

where  $\omega_+ = \omega_{\mathbf{k}}$ ,  $\omega_- = \omega_{\mathbf{k}-e\mathbf{A}_0/\hbar}$ . This corresponds to scattering off a potential step. From the continuity of the solution and its first derivative, the solution is

$$\psi_{\mathbf{k}}(t) = \begin{cases} \sqrt{\frac{1}{2\omega_-}} a_{\mathbf{k}} \exp(-i\omega_- t) & t < 0 \\ \sqrt{\frac{1}{8\omega_-}} a_{\mathbf{k}} \left[ \left(1 - \frac{\omega_-}{\omega_+}\right) \exp(i\omega_+ t) + \left(1 + \frac{\omega_-}{\omega_+}\right) \exp(-i\omega_+ t) \right] & t \geq 0. \end{cases} \quad (40)$$

(d) From the solution we obtain

$$v = \frac{1}{2} \left( \sqrt{\frac{\omega_+}{\omega_-}} - \sqrt{\frac{\omega_-}{\omega_+}} \right), \quad u = \frac{1}{2} \left( \sqrt{\frac{\omega_+}{\omega_-}} + \sqrt{\frac{\omega_-}{\omega_+}} \right) \quad (41)$$

(e) The basic observation is that since

$$a \exp(\alpha a^\dagger) |\text{VAC}\rangle = \alpha \exp(\alpha a^\dagger) |\text{VAC}\rangle, \quad (42)$$

(coherent states), the same is true when  $\alpha$  is replaced by any operator commuting with  $a$ . For the probability distribution, recall that the normalized occupation number states are

$$\frac{1}{\sqrt{n!}} (a^\dagger)^n |\text{VAC}\rangle. \quad (43)$$

Expanding the exponential shows us the probability of getting  $n$  pairs is then  $\propto |u/v|^{2n}$ . After normalizing this geometric distribution we get

$$P_n = (1 - |u/v|^2) |u/v|^{2n}.$$

END OF PAPER