

Wednesday 28 April 2021, 2pm to 4pm

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THEORETICAL PHYSICS 2

Answer **all four** questions.

*The approximate number of marks allotted to each part of a question is indicated in the right margin where appropriate.*

*The paper contains **four** sides and is accompanied by a booklet giving values of constants and containing mathematical formulae which you may quote without proof. (The booklet is available for separate download.)*

Please write answers in a manner that will  
be suitable for scanning and uploading.  
You will have 30 minutes after the end of the  
examination to scan and upload the answers.

1 A set of laser fields couple two electronic levels in an atom at position  $\mathbf{r} = (x, y)$ , giving rise to a position-dependent effective potential

$$\mathbb{V}(\mathbf{r}) = V_0 \begin{pmatrix} \sinh y & e^{ikx} \\ e^{-ikx} & -\sinh y \end{pmatrix}$$

expressed here in the basis of the two electronic levels.

Consider first the situation in which the atom is moved at a constant speed  $v$  along the line  $y = 0$ , such that  $\mathbf{r}(t) = (x, y) = (vt, 0)$ .

(a) Determine the instantaneous energies  $E_{\pm}(t)$  and instantaneous eigenstates  $|\pm, \mathbf{r}(t)\rangle$  for a stationary atom at the position  $\mathbf{r}(t) = (x, y) = (vt, 0)$ . [5]

(b) By expanding the wavefunction as

$$|\Psi(t)\rangle = c_+(t)|+, \mathbf{r}(t)\rangle + c_-(t)|-, \mathbf{r}(t)\rangle$$

and using the time-dependent Schrödinger equation, show that the electronic state of the atom will evolve adiabatically for  $\hbar|\langle -, \mathbf{r}(t)|\frac{d}{dt}|+, \mathbf{r}(t)\rangle| \ll V_0$ . Use your results from (a) to deduce the condition on velocity  $v$  for which adiabaticity holds. [8]

Now consider the atom to have a mass  $m$ , and to move freely in the  $x, y$ -plane under the Hamiltonian

$$H = -\frac{\hbar^2}{2m}\nabla^2 \otimes \mathbb{1} + \mathbb{V}(\mathbf{r})$$

where  $\mathbb{1}$  is the identity operator in the space of the two electronic levels and  $\mathbb{V}(\mathbf{r})$  is the optical potential described above, whose local eigenstates are  $|\pm, \mathbf{r}\rangle$ .

By expanding the wavefunction as

$$|\Psi(\mathbf{r})\rangle = \psi_+(\mathbf{r})|+, \mathbf{r}\rangle + \psi_-(\mathbf{r})|-, \mathbf{r}\rangle$$

and *making the adiabatic assumption*, the time-independent Schrödinger equation,  $H|\Psi(\mathbf{r})\rangle = E|\Psi(\mathbf{r})\rangle$  can be written as the two equations

$$\langle \pm, \mathbf{r} | \left[ -\frac{\hbar^2}{2m}\nabla^2 + \mathbb{V}(\mathbf{r}) \right] \psi_{\pm}(\mathbf{r}) | \pm, \mathbf{r} \rangle = E\psi_{\pm}(\mathbf{r}) \quad (\star)$$

for the wavefunctions  $\psi_{\pm}(\mathbf{r})$  describing atoms in the two energy levels.

(c) Show that the equations  $(\star)$  lead to effective Hamiltonians  $H_{\pm}\psi_{\pm}(\mathbf{r}) = E\psi_{\pm}(\mathbf{r})$ , with

$$H_{\pm} = \frac{1}{2m} \left( \frac{\hbar}{i}\nabla + \hbar\mathbf{A}_{\pm} \right)^2 + V_{\pm}(\mathbf{r})$$

where  $\mathbf{A}_{\pm}(\mathbf{r}) = -i\langle \pm, \mathbf{r} | \nabla | \pm, \mathbf{r} \rangle$ . Deduce a general expression for  $V_{\pm}(\mathbf{r})$ . [8]

(d) Outline the conditions under which the adiabaticity assumption leading to  $(\star)$  holds. [4]

**Solution 1.** (a) On the line  $y = 0$

$$V(x, y = 0) = V_0 \begin{pmatrix} 0 & e^{ikx} \\ e^{-ikx} & 0 \end{pmatrix}$$

The energies are  $E_{\pm}(x) = \pm V_0$  and the states are

$$|\pm, x(t)\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm e^{-ikx} \end{pmatrix}$$

(b)

$$i\hbar|\dot{\psi}\rangle = V|\psi\rangle$$

$$i\hbar\dot{c}_+|+, t\rangle + i\hbar c_+ \frac{d}{dt}|+, t\rangle = E_+ c_+ |+, t\rangle$$

$$i\hbar\dot{c}_-|-, t\rangle + i\hbar c_- \frac{d}{dt}|-, t\rangle = E_- c_- |-, t\rangle$$

Taking  $\langle \pm, t |$  on these gives

$$i\hbar\dot{c}_+ = E_+ c_+ - i\hbar c_+ \langle +, t | \frac{d}{dt} |+, t\rangle - i\hbar c_- \langle +, t | \frac{d}{dt} |-, t\rangle$$

$$i\hbar\dot{c}_- = E_- c_- - i\hbar c_- \langle -, t | \frac{d}{dt} |-, t\rangle - i\hbar c_+ \langle -, t | \frac{d}{dt} |+, t\rangle$$

Adiabatic provided the off-diagonal parts are negligible

$$\hbar|\langle +, x(t) | \frac{d}{dt} |-, x(t)\rangle| \ll (E_+ - E_-)/2 = V_0$$

Use  $|-, x(t)\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -e^{-ikvt} \end{pmatrix}$  to compute

$$\frac{d}{dt}|-, x(t)\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ +ikve^{-ikvt} \end{pmatrix}$$

from which  $\langle +, x | (d/dt) |-, x\rangle = ikv/2$ .

Thus we need  $\hbar vk/2 \ll V_0$ , i.e.

$$v \ll \frac{V_0}{2\hbar k}$$

(c)

$$\langle \pm | \left[ -\frac{\hbar^2}{2m} \nabla^2 + V \right] \psi_{\pm} | \pm \rangle = E \psi_{\pm}$$

Expand out

$$\begin{aligned} \langle \pm | \nabla^2 \psi_{\pm} | \pm \rangle &= \langle \pm | \nabla \cdot [(\nabla \psi_{\pm}) | \pm \rangle + \psi_{\pm} \nabla | \pm \rangle] \\ &= \langle \pm | \pm \rangle \nabla^2 \psi_{\pm} + 2 \langle \pm | \nabla | \pm \rangle \cdot \nabla \psi_{\pm} + \psi_{\pm} \langle \pm | \nabla^2 | \pm \rangle \end{aligned}$$

Define  $A_{\pm} \equiv -i\langle \pm | \nabla | \pm \rangle$  to write

$$\begin{aligned} \frac{1}{2m} \left[ -\hbar^2 \nabla^2 \psi_{\pm} + \frac{2\hbar^2}{i} \nabla \psi_{\pm} \cdot A_{\pm} - \hbar^2 \langle \pm | \nabla^2 | \pm \rangle \psi_{\pm} \right] &= E_{\pm} \psi_{\pm} \\ \frac{1}{2m} \left[ \left( \frac{\hbar}{i} + \hbar A_{\pm} \right)^2 - \hbar^2 |A_{\pm}|^2 - \hbar^2 \langle \pm | \nabla^2 | \pm \rangle \right] \psi_{\pm} &= E_{\pm} \psi_{\pm} \\ \Rightarrow H_{\pm} \psi_{\pm} &= E_{\pm} \psi_{\pm} \\ \text{with } H_{\pm} &= \frac{1}{2m} \left( \frac{\hbar}{i} \nabla + \hbar A_{\pm} \right)^2 + V_{\pm} \\ V_{\pm}(r) &= E_{\pm}(r) - \frac{\hbar^2}{2m} (|A_{\pm}|^2 + \langle \pm | \nabla^2 | \pm \rangle \psi_{\pm}) \end{aligned}$$

(d) We require that the off-diagonal elements are small compared to the energy spacing of adiabatic levels. Credit will be given for this statement, and reasoned cases setting the effective size of the off-diagonal elements.

This requires:

$$\begin{aligned} - \left| \frac{\hbar^2}{2m} \langle -, \mathbf{r} | \nabla^2 | +, \mathbf{r} \rangle \right| &\ll |E_+ - E_-| \\ - \left| \frac{\hbar^2}{2m} \psi_+^* \nabla \psi_+ \cdot A_{-+} \right| &\ll |E_+ - E_-| |\psi_+|^2 \text{ where } A_{-+} \equiv -i \langle -, \mathbf{r} | \nabla | +, \mathbf{r} \rangle \end{aligned}$$

The second condition is essentially equivalent to the condition in (b)

$$|\hbar \langle -, \mathbf{r}(t) | \frac{d}{dt} | +, \mathbf{r}(t) \rangle| \ll |E_+ - E_-|$$

with the instantaneous velocity  $\dot{\mathbf{r}}(t)$  denoting the local velocity  $\frac{\hbar}{m} |\psi_+^* \nabla \psi_+| / |\psi_+|^2$

2 The propagator for a quantum particle moving in one dimension is defined as

$$K(x, t|x', t') \equiv \theta(t - t') \langle x|U(t, t')|x' \rangle$$

where  $|x\rangle$  are the position eigenstates,  $U(t, t')$  is the time-evolution operator from initial time  $t'$  to final time  $t$ , and  $\theta(t)$  is the Heaviside step function.

(a) Show that, for a Hamiltonian  $H(t) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x, t)$ , the propagator satisfies

$$\left[ i\hbar \frac{\partial}{\partial t} - H(t) \right] K(x, t|x', t') = i\hbar \delta(t - t') \delta(x - x')$$

with the boundary condition that  $K(x, t|x', t') = 0$  for  $t < t'$ . [5]

For a simple harmonic oscillator, with Hamiltonian  $H_0 = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2}m\omega^2 x^2$ , the propagator starting from  $x' = 0$  at  $t' = 0$  is

$$K_0(x, t|0, 0) = \theta(t) \sqrt{\frac{m\omega}{2\pi i\hbar \sin \omega t}} \exp\left(i \frac{m\omega}{2\hbar} x^2 \cot \omega t\right).$$

(b) Show that, in the presence of a time-dependent force,  $H = H_0 - xF(t)$ , the solution to the equation in part (a) can be found, for  $x' = t' = 0$ , by writing

$$K(x, t|0, 0) = K_0(x, t|0, 0) \exp[iG(x, t)/\hbar]$$

with  $G(x, t) = A(t) + B(t)x$ , provided that

$$\begin{aligned} \dot{A}(t) &= -\frac{B(t)^2}{2m} \\ \dot{B}(t) + \omega \cot(\omega t)B(t) &= F(t). \end{aligned}$$

Hence, find an expression for  $B(t)$ . [12]

[Hint: multiply the differential equation by  $\sin \omega t$ .]

(c) By making reference to the Feynman path integral formulation of quantum mechanics, comment on the relation of these results to the classical trajectory. [8]

**Solution 2.** (a) Note that the Heaviside function corresponds the boundary condition. Inserting the expression for the propagator to the Schrödinger equation gives

$$\begin{aligned} \left[ i\hbar \frac{\partial}{\partial t} - H(t) \right] \theta(t-t') \langle x|U(t,t')|x' \rangle &= i\hbar \delta(t-t') \langle x|U(t,t')|x' \rangle \\ &+ i\hbar \theta(t-t') \langle x| \frac{\partial U(t,t')}{\partial t} |x' \rangle - \theta(t-t') H(t) \langle x|U(t,t')|x' \rangle, \end{aligned}$$

upon employing  $\partial_t \theta(t-t') = \delta(t-t')$ . The terms involving the Heaviside function cancels when we use the fact that  $\partial_t U = -\frac{i}{\hbar} H U$ . This gives

$$\begin{aligned} \left[ i\hbar \frac{\partial}{\partial t} - H(t) \right] \theta(t-t') \langle x|U(t,t')|x' \rangle &= i\hbar \delta(t-t') \langle x|U(t,t')|x' \rangle \\ &= i\hbar \delta(t-t') \langle x|x' \rangle = i\hbar \delta(t-t') \delta(x-x') \end{aligned}$$

(b) For the simple harmonic oscillator, we have

$$\left[ i\hbar \frac{\partial}{\partial t} - H_0 \right] K_0(x, t|0, 0) = i\hbar \delta(t) \delta(x),$$

which corresponds to the Schrödinger equation for  $t > 0$ .

So, for the driven harmonic oscillator:

$$\begin{aligned} \left[ i\hbar \frac{\partial}{\partial t} - H_0 + xF(t) \right] K_0 e^{iG/\hbar} &= \underbrace{\left[ i\hbar \frac{\partial K_0}{\partial t} - H_0 K_0 \right]}_0 e^{iG/\hbar} - K_0 \frac{\partial G}{\partial t} e^{iG/\hbar} \\ &+ \frac{\hbar^2}{2m} \left[ \frac{2i}{\hbar} \frac{\partial K_0}{\partial x} \frac{\partial G}{\partial x} - \frac{K_0}{\hbar^2} \left( \frac{\partial G}{\partial x} \right)^2 \right] e^{iG/\hbar} \\ 0 &= -\frac{\partial G}{\partial t} - \omega x \cot(\omega t) \frac{\partial G}{\partial x} - \frac{1}{2m} \left( \frac{\partial G}{\partial x} \right)^2 + F(t)x \end{aligned}$$

where, on the last line, we used

$$\frac{\partial K_0}{\partial x} = K_0 \frac{im\omega}{\hbar} x \cot(\omega t).$$

For  $G(x, t) = A(t) + B(t)x$ , this gives

$$-\dot{A} - \dot{B}x - \omega x \cot(\omega x)B - \frac{1}{2m}B^2 + Fx = 0.$$

Equating terms for each power of  $x$ :

$$\begin{aligned} \dot{A}(t) &= -\frac{B(t)^2}{2m} \\ \dot{B}(t) + \omega \cot(\omega t)B(t) &= F(t). \end{aligned}$$

Modifying the second line:

$$\begin{aligned}\dot{B} \sin(\omega t) + \omega \cos(\omega t)B(t) &= F(t) \sin(\omega t) \\ \frac{d}{dt} [B \sin(\omega t)] &= F(t) \sin(\omega t) \\ B(t) &= \frac{1}{\sin(\omega t)} \int_0^t dt' F(t') \sin(\omega t').\end{aligned}$$

(c) The path integral formulation expresses the propagator as the sum over all paths,  $x(t)$ , weighted by  $e^{iS[x(t)]/\hbar}$  with  $S$  the action, and with  $x(t)$  satisfying the boundary conditions  $x(t_i)$  and  $x(t_f)$  at initial and final times,  $t_i$  and  $t_f$ ,

$$K \sim \int_{x(t_i) \rightarrow x(t_f)} \mathcal{D}x(t) e^{iS[x(t)]/\hbar}$$

Since for the (driven) harmonic oscillator the action is at most quadratic in  $x(t)$  and  $\dot{x}(t)$ , by expanding  $x(t) = x_{cl}(t) + \delta x(t)$  around the classical trajectory  $x_{cl}(t)$  – which extremizes the action – this can be written exactly as

$$K \sim e^{iS[x_{cl}(t)]/\hbar} \int_{0 \rightarrow 0} \mathcal{D}\delta x(t) e^{iS[\delta x(t)]/\hbar}$$

where the remaining path integral of  $\delta x(t)$  is independent of the initial and final positions.

Thus, the dependence on  $x(t_i)$  and  $x(t_f)$  enters only through the prefactor  $e^{iS[x_{cl}(t)]/\hbar}$  which is the classical action, determined on the classical trajectory.

The solution here is of this form: the content of the exponent is this classical action.

3 A system of  $N$  bosons is described by the second-quantized Hamiltonian

$$H = \int d\mathbf{r} \psi^\dagger(\mathbf{r}) \left[ -\frac{\hbar^2}{2m} \nabla^2 + V_1(\mathbf{r}) \right] \psi(\mathbf{r}) + \frac{1}{2} \iint d\mathbf{r} d\mathbf{r}' \psi^\dagger(\mathbf{r}) \psi^\dagger(\mathbf{r}') V_2(\mathbf{r}, \mathbf{r}') \psi(\mathbf{r}') \psi(\mathbf{r})$$

where  $\psi(\mathbf{r})$  and  $\psi^\dagger(\mathbf{r})$  are the field operators, and  $V_2(\mathbf{r}, \mathbf{r}')$  is the two-body interaction potential.

(a) State the set of commutation relations that the field operators satisfy. [3]

(b) Hence show that

$$\left[ \psi(\mathbf{r}), \int d\mathbf{r}' \left( \frac{\partial}{\partial \mathbf{r}'} \psi^\dagger(\mathbf{r}') \right) F(\mathbf{r}') \right] = -\nabla F(\mathbf{r})$$

where  $F(\mathbf{r})$  commutes with  $\psi(\mathbf{r})$  and vanishes at large  $|\mathbf{r}|$ . [2]

(c) Write down a second-quantized form for the total momentum operator,  $\mathbf{P}$ . By employing appropriate commutation relations, and assuming that  $\psi(\mathbf{r})$  and  $\psi^\dagger(\mathbf{r})$  vanish at large  $|\mathbf{r}|$ , show that the total momentum  $\mathbf{P}$  is conserved when  $V_1 = 0$  and  $V_2(\mathbf{r}, \mathbf{r}') = V_2(|\mathbf{r} - \mathbf{r}'|)$ . [8]

[You may use the facts that  $[AB, C] = A[B, C] + [A, C]B$ ;  $[A, BC] = B[A, C] + [A, B]C$ ; and  $d|\mathbf{r}|/d\mathbf{r} = \mathbf{r}/|\mathbf{r}|$ .]

(d) Defining the current density operator as

$$\mathbf{J}(\mathbf{r}) = \frac{\hbar}{2mi} \left\{ \psi^\dagger(\mathbf{r}) \nabla \psi(\mathbf{r}) - [\nabla \psi^\dagger(\mathbf{r})] \psi(\mathbf{r}) \right\},$$

show that the particle density  $\rho(\mathbf{r}) = \psi^\dagger(\mathbf{r})\psi(\mathbf{r})$  satisfies the continuity equation

$$\frac{\partial \rho}{\partial t} + \nabla \cdot \mathbf{J} = 0,$$

in the Heisenberg picture. [8]

(e) How would each of the above answers be affected if the particles were fermions instead of bosons? [4]



**Solution 3.** (a)

$$[\psi(\mathbf{r}), \psi^\dagger(\mathbf{r}')] = \delta(\mathbf{r} - \mathbf{r}')$$

$$[\psi(\mathbf{r}), \psi(\mathbf{r}')] = 0$$

$$[\psi^\dagger(\mathbf{r}), \psi^\dagger(\mathbf{r}')] = 0$$

(b) Integrate by parts:

$$\begin{aligned} \int d\mathbf{r}' \left( \nabla_{\mathbf{r}'} \psi^\dagger(\mathbf{r}') \right) F(\mathbf{r}') &= - \int d\mathbf{r}' \psi^\dagger(\mathbf{r}') \nabla_{\mathbf{r}'} F(\mathbf{r}') \\ \left[ \psi(\mathbf{r}), \int d\mathbf{r}' \left( \nabla_{\mathbf{r}'} \psi^\dagger(\mathbf{r}') \right) F(\mathbf{r}') \right] &= - \int d\mathbf{r}' \left[ \psi(\mathbf{r}), \psi^\dagger(\mathbf{r}') \right] \nabla_{\mathbf{r}'} F(\mathbf{r}') \\ &= - \nabla_{\mathbf{r}} F(\mathbf{r}) \end{aligned}$$

(c) Momentum operator is  $\mathbf{P} = -i\hbar \int d\mathbf{r} \psi^\dagger(\mathbf{r}) \nabla \psi(\mathbf{r})$ .

$$\begin{aligned} \frac{d\mathbf{P}}{dt} &= \frac{i}{\hbar} [H, \mathbf{P}] \\ &= \frac{\hbar^2}{2m} \underbrace{\int d\mathbf{r} d\mathbf{r}' \left[ \nabla_{\mathbf{r}} \psi^\dagger(\mathbf{r}) \cdot \nabla_{\mathbf{r}} \psi(\mathbf{r}), \psi^\dagger(\mathbf{r}') \nabla_{\mathbf{r}'} \psi(\mathbf{r}') \right]}_{\diamond} \\ &\quad + \frac{1}{2} \underbrace{\int d\mathbf{r} d\mathbf{r}' d\mathbf{r}'' \left[ \psi^\dagger(\mathbf{r}) \psi^\dagger(\mathbf{r}') V_2(|\mathbf{r} - \mathbf{r}'|) \psi(\mathbf{r}') \psi(\mathbf{r}), \psi^\dagger(\mathbf{r}'') \nabla_{\mathbf{r}''} \psi(\mathbf{r}'') \right]}_{\square} \end{aligned}$$

First commutator gives zero upon using integration by parts where the boundary terms vanish:

$$\begin{aligned} \diamond &= - \int \int d\mathbf{r} d\mathbf{r}' \nabla_{\mathbf{r}}^2 \psi^\dagger(\mathbf{r}) \left[ \psi(\mathbf{r}), \psi^\dagger(\mathbf{r}') \nabla_{\mathbf{r}'} \psi(\mathbf{r}') \right] + \left[ \psi^\dagger(\mathbf{r}), \psi^\dagger(\mathbf{r}') \nabla_{\mathbf{r}'} \psi(\mathbf{r}') \right] \nabla_{\mathbf{r}}^2 \psi(\mathbf{r}) \\ &= - \int \int d\mathbf{r} d\mathbf{r}' \delta(\mathbf{r} - \mathbf{r}') \left\{ \nabla_{\mathbf{r}}^2 \psi^\dagger(\mathbf{r}) \cdot \nabla_{\mathbf{r}'} \psi(\mathbf{r}') + \nabla_{\mathbf{r}'} \psi^\dagger(\mathbf{r}') \cdot \nabla_{\mathbf{r}}^2 \psi(\mathbf{r}) \right\} \\ &= - \int d\mathbf{r} \left\{ \nabla_{\mathbf{r}}^2 \psi^\dagger(\mathbf{r}) \cdot \nabla_{\mathbf{r}} \psi(\mathbf{r}) - \nabla_{\mathbf{r}}^2 \psi^\dagger(\mathbf{r}) \cdot \nabla_{\mathbf{r}} \psi(\mathbf{r}) \right\} = 0. \end{aligned}$$

Similarly, the second commutator reduces to

$$\square \propto \int \int d\mathbf{r} d\mathbf{r}' V_2'(|\mathbf{r} - \mathbf{r}'|) \frac{\mathbf{r} - \mathbf{r}'}{|\mathbf{r} - \mathbf{r}'|} \dots$$

where both  $V_2(|\mathbf{r} - \mathbf{r}'|)$  and its derivative  $V_2'(|\mathbf{r} - \mathbf{r}'|)$  are symmetric functions, while  $\frac{\mathbf{r} - \mathbf{r}'}{|\mathbf{r} - \mathbf{r}'|}$  changes sign upon changing  $\mathbf{r}$  and  $\mathbf{r}'$ . Therefore, the integral vanishes and the total momentum is conserved

$$\frac{d\mathbf{P}}{dt} = 0$$

(d) Following the algebra in part (c) but for  $\rho(\mathbf{r}) = \psi^\dagger(\mathbf{r})\psi(\mathbf{r})$ :

$$\begin{aligned}\frac{d\rho(\mathbf{r})}{dt} &= \frac{i}{\hbar}[H, \rho] \\ &= \frac{i}{\hbar} \frac{\hbar^2}{2m} \int d\mathbf{r} \left[ \nabla_r \psi^\dagger(\mathbf{r}) \cdot \nabla_r \psi(\mathbf{r}), \psi^\dagger(\mathbf{r}')\psi(\mathbf{r}') \right] \\ &\quad + \frac{i}{\hbar} \int d\mathbf{r} V_1(r) [\psi^\dagger(\mathbf{r})\psi(\mathbf{r}), \psi^\dagger(\mathbf{r}')\psi(\mathbf{r}')] \\ &\quad + \frac{i}{\hbar} \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' V_2(\mathbf{r}, \mathbf{r}') \left[ \psi^\dagger(\mathbf{r})\psi^\dagger(\mathbf{r}')\psi(\mathbf{r}')\psi(\mathbf{r}), \psi^\dagger(\mathbf{r}'')\psi(\mathbf{r}'') \right]\end{aligned}$$

The one-body interaction term vanishes as density at two different points commute upon integration:

$$\frac{i}{\hbar} \int d\mathbf{r} V_1(r) \delta(\mathbf{r} - \mathbf{r}') \left\{ \psi^\dagger(\mathbf{r})\psi(\mathbf{r}') - \psi^\dagger(\mathbf{r}')\psi(\mathbf{r}) \right\} = 0$$

Similarly, the two-body interaction term vanishes:

$$\begin{aligned}\dots &= \int d\mathbf{r} d\mathbf{r}' V_2 \left\{ \psi^\dagger(\mathbf{r}'') \left[ \psi^\dagger(\mathbf{r})\psi^\dagger(\mathbf{r}'), \psi(\mathbf{r}'') \right] \psi(\mathbf{r}')\psi(\mathbf{r}) + \psi^\dagger(\mathbf{r})\psi^\dagger(\mathbf{r}') \left[ \psi(\mathbf{r}')\psi(\mathbf{r}), \psi^\dagger(\mathbf{r}'') \right] \psi(\mathbf{r}'') \right\} \\ &= \int d\mathbf{r} d\mathbf{r}' V_2 \delta(\mathbf{r}' - \mathbf{r}'') \left\{ -\psi^\dagger(\mathbf{r}'')\psi^\dagger(\mathbf{r})\psi(\mathbf{r}')\psi(\mathbf{r}) + \psi^\dagger(\mathbf{r})\psi^\dagger(\mathbf{r}')\psi(\mathbf{r})\psi(\mathbf{r}'') \right\} \\ &\quad + \int d\mathbf{r} d\mathbf{r}' V_2 \delta(\mathbf{r} - \mathbf{r}'') \left\{ -\psi^\dagger(\mathbf{r}'')\psi^\dagger(\mathbf{r}')\psi(\mathbf{r}')\psi(\mathbf{r}) + \psi^\dagger(\mathbf{r})\psi^\dagger(\mathbf{r}')\psi(\mathbf{r}')\psi(\mathbf{r}'') \right\} \\ &= 0.\end{aligned}$$

What is left is the continuity equation:

$$\begin{aligned}\frac{d\rho}{dt} &= -\frac{i}{\hbar} \frac{\hbar^2}{2m} \int d\mathbf{r} \delta(\mathbf{r} - \mathbf{r}') \left\{ \nabla_r^2 \psi^\dagger(\mathbf{r}) \cdot \psi(\mathbf{r}') - \psi^\dagger(\mathbf{r}') \nabla_r^2 \psi(\mathbf{r}) \right\} \\ &= \frac{\hbar}{2mi} \left[ \nabla_r^2 \psi^\dagger(\mathbf{r}) \cdot \psi(\mathbf{r}) - \psi^\dagger(\mathbf{r}) \nabla_r^2 \psi(\mathbf{r}) \right] \\ &= -\nabla_r \cdot \mathbf{J}.\end{aligned}$$

(e) They would not change except for (a) where for fermions:

$$\{\psi(\mathbf{r}), \psi^\dagger(\mathbf{r}')\} = \delta(\mathbf{r} - \mathbf{r}')$$

$$\{\psi(\mathbf{r}), \psi(\mathbf{r}')\} = 0$$

$$\{\psi^\dagger(\mathbf{r}), \psi^\dagger(\mathbf{r}')\} = 0$$

4 The equation of motion for the density operator of a quantum system is

$$\frac{d}{dt}\rho(t) = \frac{i}{\hbar} [\rho(t), H] + \gamma\mathcal{L}[\rho(t)]$$

where  $H$  is the Hamiltonian and

$$\mathcal{L}[\rho] \equiv L\rho L^\dagger - \frac{1}{2} (L^\dagger L\rho + \rho L^\dagger L)$$

describes dissipative coupling to an external environment via some operator  $L$ .

(a) Show that the above equation of motion for  $\rho(t)$  leaves  $\text{Tr}[\rho(t)]$  time-independent. [4]

[You may use the fact that  $\text{Tr}[AB] = \text{Tr}[BA]$ .]

(b) Show that for a two-level quantum system one can parameterise  $\rho(t)$  in terms of three quantities  $\rho_{i=x,y,z}(t)$  as

$$\rho(t) = \frac{1}{2}\mathbb{1} + \sum_{i=x,y,z} \rho_i(t)\sigma_i,$$

where  $\mathbb{1}$  is the  $2 \times 2$  identity matrix and  $\sigma_{x,y,z}$  are the Pauli matrices. [4]

[The Pauli matrices are  $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .]

Consider a two-level system, with Hamiltonian  $H = \hbar\Delta\sigma_z$  and dissipator  $L = \sigma_-$ , where  $\sigma_\pm \equiv \sigma_x \pm i\sigma_y$ .

(c) Show that the equation of motion for  $\rho_z(t)$  is [8]

$$\dot{\rho}_z = -4\gamma\rho_z - 2\gamma.$$

[You may use:  $[\sigma_l, \sigma_m] = 2i\epsilon_{lmn}\sigma_n$ ,  $\sigma_+\sigma_- = 2(\mathbb{1} + \sigma_z)$ ,  $\sigma_-\sigma_+ = 2(\mathbb{1} - \sigma_z)$ , and  $\sigma_-\sigma_x\sigma_+ = \sigma_-\sigma_y\sigma_+ = 0$ ,  $\sigma_-\sigma_z\sigma_+ = 2(\mathbb{1} - \sigma_z)$ .]

(d) Given that the remaining equations of motion are  $\dot{\rho}_x = -2\Delta\rho_y - 2\gamma\rho_x$  and  $\dot{\rho}_y = 2\Delta\rho_x - 2\gamma\rho_y$ , determine the steady state density operator to which the system evolves at long times,  $t \rightarrow \infty$ . How is it related to the eigenstates of the Hamiltonian? [4]

The equation of motion is extended to include a second dissipator, as

$$\frac{d}{dt}\rho(t) = \frac{i}{\hbar} [\rho, H] + \gamma\mathcal{L}[\rho] + \gamma'\mathcal{L}'[\rho]$$

with  $\mathcal{L}'[\rho]$  of the same form as  $\mathcal{L}[\rho]$  but with  $L = \sigma_-$  replaced by  $L' = \sigma_+ \equiv \sigma_x + i\sigma_-$ .

(e) For what value of  $\gamma'/\gamma$  does the steady state density operator, at  $t \rightarrow \infty$ , describe thermal equilibrium at temperature  $T$ ? [5]

[You may use:  $\sigma_-\sigma_x\sigma_+ = \sigma_+\sigma_y\sigma_- = 0$ ,  $\sigma_+\sigma_z\sigma_- = -2(\mathbb{1} + \sigma_z)$ .]

**Solution 4.** (a)

$$\begin{aligned}\frac{d}{dt}\text{Tr}[\rho] &= \frac{i}{\hbar}\text{Tr}[\rho H - H\rho] + \gamma\text{Tr}[L\rho L^\dagger - (1/2)(L^\dagger L\rho + \rho L^\dagger L)] \\ &= \frac{i}{\hbar}\text{Tr}[\rho H - \rho H] + \gamma\text{Tr}[L^\dagger L\rho - (1/2)(L^\dagger L\rho + L^\dagger L\rho)] \\ &= 0\end{aligned}$$

using cyclic permutation of operators within the trace.

(b) We use the facts that:  $\rho$  is a  $2 \times 2$  matrix;  $\rho$  is hermitian; its trace is 1. This leaves only three real parameters that are free to be chosen

$$\rho = \begin{pmatrix} 1/2 + \rho_z & \rho_x - i\rho_y \\ \rho_x + i\rho_y & 1/2 - \rho_z \end{pmatrix}$$

Equivalently, the three Pauli matrix exhaust the traceless  $2 \times 2$  Hermitian matrices.

(c) We use  $L = \sigma_-$  and  $L^\dagger = \sigma_+$  to get the full equation of motion

$$\begin{aligned}\dot{\rho}_i \sigma_i &= i\Delta[\sigma_i, \sigma_z] + \frac{1}{2}\gamma\sigma_- \sigma_+ - \frac{1}{4}\gamma(2\sigma_+ \sigma_-) \\ &\quad + \gamma\rho_i \sigma_- \sigma_i \sigma_+ - \frac{\gamma}{2}\rho_i(\sigma_+ \sigma_- \sigma_i + \sigma_i \sigma_+ \sigma_-) \\ &= i\Delta[\sigma_i, \sigma_z] - 2\gamma\sigma_z \\ &\quad + \gamma\rho_i \sigma_- \sigma_i \sigma_+ - \frac{\gamma}{2}\rho_i(\sigma_+ \sigma_- \sigma_i + \sigma_i \sigma_+ \sigma_-)\end{aligned}$$

where we have used  $\sigma_+ \sigma_- = 2(1 + \sigma_z)$  and  $\sigma_- \sigma_+ = 2(1 - \sigma_z)$  from the hints.

Now, using  $\sigma_- \sigma_x \sigma_+ = \sigma_- \sigma_y \sigma_+ = 0$  and  $\sigma_- \sigma_z \sigma_+ = 2(1 - \sigma_z)$  we find that the equation of motion for the coefficient of  $\sigma_z$  depends on only  $\rho_z$ , i.e.

$$\begin{aligned}\dot{\rho}_z \sigma_z &= 0 - 2\gamma\sigma_z \\ &\quad + \gamma\rho_z(2(1 - \sigma_z) - 2(1 + \sigma_z)\sigma_z) \\ &= -2\gamma\sigma_z + \gamma\rho_z(2 - 2\sigma_z - 2\sigma_z - 2)\end{aligned}$$

Note that there are no contributions from the time derivatives of  $\rho_x \sigma_x$  and  $\rho_y \sigma_y$ . Hence, equating the coefficient of  $\sigma_z$  we get

$$\dot{\rho}_z = -4\gamma\rho_z - 2\gamma.$$

(d) Given the other equations, we see that there are steady states with  $\rho_x = \rho_y = 0$  and  $-2\gamma - 4\gamma\rho_z = 0$ , i.e.  $\rho_z = -1/2$ . Hence

$$\rho(t \rightarrow \infty) = 1/2 - 1/2\sigma_z = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

[4]

This is a *pure state*. It corresponds to the energy eigensate of the Hamiltonian with energy  $-\hbar\Delta$ . Thus for  $\Delta > 0$  this is the groundstate. For  $\Delta < 0$  it is the excited state.

(e) Adapting the previous derivation, now for  $\gamma'$  and  $L'$  leads to an additional term in  $\dot{\rho}_z\sigma_z$ :

$$\begin{aligned} & +\gamma'\sigma_z + \gamma'\rho_z(\sigma_+\sigma_z\sigma_- - (1/2)\{\sigma_-\sigma_+\sigma_z\}_+) \\ & = +2\gamma'\sigma_z + \gamma'\rho_z(-2(1+\sigma_z) - 2\sigma_z(1-\sigma_z)) \\ & = 2\gamma'\sigma_z - 4\gamma'\rho_z\sigma_z \end{aligned}$$

Hence the new equation of motion is

$$\dot{\rho}_z = -4\gamma\rho_z - 2\gamma - 4\gamma'\rho_z + 2\gamma'.$$

The new steady state is

$$\rho_z = \frac{1}{2} \left( \frac{\gamma' - \gamma}{\gamma' + \gamma} \right)$$

which leads to

$$\rho(t \rightarrow \infty) = 1/2 + \rho_z\sigma_z = \frac{1}{\gamma + \gamma'} \begin{pmatrix} \gamma' & 0 \\ 0 & \gamma \end{pmatrix}$$

At thermal equilibrium

$$\rho = e^{-\beta H} / Z = \frac{1}{Z} \begin{pmatrix} e^{-\beta\hbar\Delta} & 0 \\ 0 & e^{+\beta\hbar\Delta} \end{pmatrix},$$

so  $e^{+2\beta\hbar\Delta} = \gamma'/\gamma$ . Thus

$$\gamma'/\gamma = e^{-2\hbar\Delta/k_{\text{B}}T}.$$