

# Summary Notes

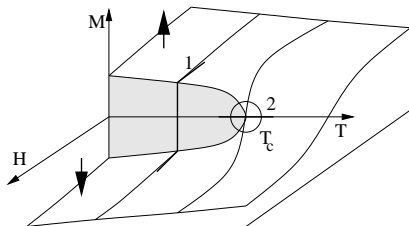
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Theory of Condensed Matter

Lent 2025

# Topology of magnetic transition phase diagram

## Typical Phase Diagram: Magnetism



- Phase transitions may be discontinuous (path 1) or continuous (path 2).
- Phases distinguished by order parameter  $M$ .

# Definitions of Critical exponents

Critical behaviour near  $T_c$ :

$$\begin{aligned}
 M &\sim (-t)^\beta \text{ at } H = 0, t < 0 \\
 \chi \left( = \frac{\partial M}{\partial H} \Big|_{H=0} \right) &\sim |t|^{-\gamma} \text{ at } H = 0 \\
 H &\sim |M|^\delta \operatorname{sgn} M \text{ at } t = 0 \\
 C_H &\sim |t|^{-\alpha} \text{ at } H = 0 \\
 \xi &\sim |t|^{-\nu} \\
 G(r) &\sim \frac{1}{r^{d-2+\eta}} \text{ at } t = 0
 \end{aligned}$$

Near *critical point*, microscopic length scales should not play a fundamental role  $\rightsquigarrow$  phenomenological description.

# Critical Phenomena and Ginzburg-Landau Theory

- Divergence of correlation length  $\xi$  motivates construction of phenomenological theory based on fundamental symmetries.
- Ginzburg-Landau Hamiltonian

$$\beta H = \int d\mathbf{x} \left[ \frac{t}{2} \mathbf{m}^2 + u \mathbf{m}^4 + \dots + \frac{K}{2} (\nabla \mathbf{m})^2 + \dots - \mathbf{h} \cdot \mathbf{m} \right].$$

- Assumed to arise from integrating over short-length fluctuations.
- Partition Function

$$\mathcal{Z} = \int D\mathbf{m}(\mathbf{x}) e^{-\beta H[\mathbf{m}]}.$$

## Landau MFT

$$\mathcal{Z} = e^{-\beta \overbrace{F[h, T]}^{\text{Free energy}}} \underbrace{\sim}_{\text{s.p.a.}} e^{-\min_{\mathbf{m}} \beta H[\mathbf{m}]}$$

For  $K > 0$ , min. when  $\mathbf{m}(\mathbf{x}) = \bar{m} \mathbf{e}_h$  i.e.

$$\frac{\beta F}{V} = \min_{\mathbf{m}} \left[ \frac{t}{2} m^2 + u m^4 - h m \right].$$

Use to infer

$$t = \frac{T - T_c}{T_c}$$

and critical exponents:  $\beta = 1/2$ ,  $\delta = 3$ ,  $\gamma = 1$ , and  $\alpha = 0$ .

# Gaussian Functional Integrals

$$\mathcal{Z} = \int D\phi(\mathbf{x}) \exp \left[ -\frac{1}{2} \int d^d \mathbf{x} \left( \frac{\phi^2}{\xi^2} + (\nabla \phi)^2 \right) \right]$$

- $\langle \phi(\mathbf{x}) \phi(\mathbf{x}') \rangle_c = G(\mathbf{x}, \mathbf{x}')$  where

$$(-\nabla'^2 + \xi^{-2}) G(\mathbf{x}, \mathbf{x}') = \delta^d(\mathbf{x} - \mathbf{x}').$$

- Equivalently

$$G(\mathbf{q}) = \frac{1}{\mathbf{q}^2 + \xi^{-2}}$$

and

$$\langle \phi(\mathbf{q}) \phi(\mathbf{q}') \rangle_c = (2\pi)^d \delta^d(\mathbf{q} + \mathbf{q}') G(q).$$

- If  $\mathcal{A} = \int d^d \mathbf{x} a(\mathbf{x}) \phi(\mathbf{x})$  then

$$\langle e^{\mathcal{A}} \rangle = e^{\langle \mathcal{A} \rangle_c + \langle \mathcal{A}^2 \rangle_c / 2}$$

Proof: *Complete the square.*

# Derivation of Ginzburg-Landau Hamiltonians from microscopic models

- Introduce an order parameter  $\Psi$  via Hubbard-Stratonovich decoupling (a.k.a. reversing completing the square)

$$\mathcal{Z} = \det \left[ 2\pi G_{ij}^{-1} \right]^{-\frac{1}{2}} \sum_{\{\sigma_i = \pm 1\}} \int \prod_i d\Psi_i e^{-\frac{1}{2} \sum_{ij} G_{ij}^{-1} \Psi_i \Psi_j} e^{\sum_i (\Psi_i + h) \sigma_i}$$

- Integrate out the original microscopic degrees of freedom
- Re-exponentiate to obtain a GL Hamiltonian
- Expand in powers of the order parameter and its gradients close to the critical point
- Read off from the coefficients the relevant  $t$ ,  $u$ ,  $\dots$ , phenomenological parameters.

# Continuous Symmetry Breaking and Goldstone Modes

- Ginzburg-Landau Hamiltonian

$$\beta H = \int d\mathbf{x} \left[ \frac{t}{2} \mathbf{m}^2 + u \mathbf{m}^4 + \frac{K}{2} (\nabla \mathbf{m})^2 \right].$$

- Landau Mean-Field:  
 $t < 0$ , Spontaneous symmetry breaking  $\rightsquigarrow$  appearance of ordered ground state
- Breaking of a continuous symmetry  $\rightsquigarrow$  low-energy excitations (Goldstone Modes)
  - magnet — spin waves
  - crystal lattice — phonons



# Goldstone modes effect on Long Range Order

- Transverse Fluctuations  $\mathbf{m}(\mathbf{x}) = \bar{m}(\cos \theta(\mathbf{x}), \sin \theta(\mathbf{x}))$
- Neglecting topological (vortex) configurations

$$\langle \mathbf{m}(\mathbf{x}) \cdot \mathbf{m}(0) \rangle = \bar{m}^2 \exp \left[ -\frac{1}{2} \langle [\theta(\mathbf{x}) - \theta(0)]^2 \rangle \right]$$

$$\xrightarrow{|\mathbf{x}| \rightarrow \infty} \begin{cases} \bar{m}^2, & d > 2 \\ 0, & d \leq 2. \end{cases}$$

- Mermin-Wagner Theorem:  
For systems with a continuous symmetry (and short ranged interactions) there is no LRO in dimensions  $d \leq 2$  — the lower critical dimension.

# Role of Fluctuations in GL Theory

$$\beta H = \int d\mathbf{x} \left[ \frac{t}{2} \mathbf{m}^2 + u \mathbf{m}^4 + \frac{K}{2} (\nabla \mathbf{m})^2 \right]$$

Parametrise

$$\mathbf{m}(\mathbf{x}) = [\bar{m} + \phi_l(\mathbf{x})] \hat{\mathbf{e}}_1 + \sum_{\alpha=2}^n \phi_{t,\alpha}(\mathbf{x}) \hat{\mathbf{e}}_\alpha$$

and expanding to second order

$$\beta H[\mathbf{m}(\mathbf{x})] = \underbrace{\beta H[\bar{m}]}_{\text{Landau MFT}} + \int d\mathbf{x} \frac{K}{2} \sum_{\alpha=l,t} [(\nabla \phi_\alpha)^2 + \xi_\alpha^{-2} \phi_\alpha^2]$$

Correlation Function:

$$\langle \phi_\alpha(\mathbf{q}) \phi_\beta(\mathbf{q}') \rangle_c = \delta_{\alpha\beta} (2\pi)^d \delta^d(\mathbf{q} + \mathbf{q}') \times \frac{1}{K(\mathbf{q}^2 + \xi_\alpha^{-2})}$$

# Effect of Fluctuations

Real space:

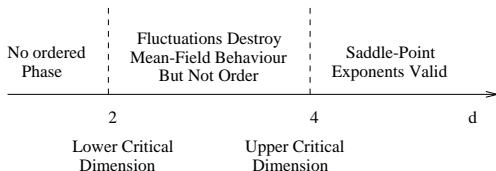
$$\langle \phi_\alpha(\mathbf{x}) \phi_\beta(\mathbf{x}') \rangle_c = G_{\alpha\beta}(\mathbf{x}, \mathbf{0})$$

$$\sim \begin{cases} C_d(\mathbf{x}) = \frac{|\mathbf{x}|^{2-d}}{(2-d)S_d} & |\mathbf{x}| \ll \xi, \\ \frac{\xi^{2-d}}{(2-d)S_d} \frac{\exp[-|\mathbf{x}|/\xi]}{|\mathbf{x}/\xi|^{(d-1)/2}} & |\mathbf{x}| \gg \xi. \end{cases}$$

i.e.  $\xi$  is the correlation length

$$\xi \sim \left( \frac{K}{t} \right)^{\frac{1}{2}}.$$

# Summary of Landau Theory



- $d < 4$ : Beyond saddle-point analysis gives divergent corrections to thermodynamics quantities, response functions and correlation length.
- But can only see deviations from mean field results if experiment can resolve beyond Ginzburg criterion

$$t_G \approx \frac{1}{[(\xi_0/a)^d (\Delta C_{sp}/k_B)]^{2/(4-d)}}.$$

# Scaling Hypothesis

Assuming correlation length takes a homogeneous form

$$\xi(t, h) \sim t^{-\nu} g_{\xi} \left( \frac{h}{t^{\Delta}} \right)$$

and, close to  $T_c$ , is the only important length scale implies

- Free energy (and other thermodynamic quantities) also takes homogeneous form

$$f_{\text{sing.}}(t, h) = t^{2-\alpha} g_f \left( \frac{h}{t^{\Delta}} \right).$$

- Two independent exponents fix all other critical exponents. Examples include

$$\alpha + 2\beta + \gamma = 2, \quad (\text{Rushbrooke's Identity})$$

$$\delta - 1 = \gamma/\beta. \quad (\text{Widom's Identity})$$

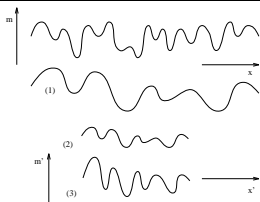
# Consequences of Scaling

- Critical system has an additional **dilation symmetry**.
- Under a change of scale, the critical correlation functions behave as

$$G_{\text{critical}}(\lambda \mathbf{x}) = \lambda^p G_{\text{critical}}(\mathbf{x}).$$

- Statistical self-similarity cannot be directly implemented in Ginzburg-Landau scheme of symmetries and constraints.
- Progress using less direct route: the **renormalisation group**.

# Kadanoff's Renormalisation Group (conceptual)



Start with a configuration  $\mathbf{m}(\mathbf{x})$  with weight  $W[\mathbf{m}] = e^{-\beta H[\mathbf{m}]}$ .

- 1 Coarse-grain:

$$\bar{\mathbf{m}}(\mathbf{x}) = \frac{1}{(ba)^d} \int_{\text{Cell}} d\mathbf{y} \mathbf{m}(\mathbf{y}).$$

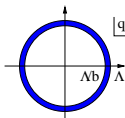
- 2 Rescale:

$$\mathbf{x}' = \frac{\mathbf{x}}{b}.$$

- 3 Renormalise:

$$\mathbf{m}'(\mathbf{x}') = \frac{1}{\zeta} \bar{\mathbf{m}}(\mathbf{x}').$$

# RG applied to Gaussian Model



**Coarse-Grain** Eliminate fluctuations at scales  $a < |\mathbf{x}| < ba$  or removal of Fourier modes  $\Lambda/b < |\mathbf{q}| < \Lambda$ . Separate the fields into slowly and rapidly varying functions,  $\mathbf{m}(\mathbf{q}) = \mathbf{m}_>(\mathbf{q}) + \mathbf{m}_<(\mathbf{q})$

**Integrate over fast variables** Partition function becomes

$$\mathcal{Z} = \mathcal{Z}_> \int D\mathbf{m}_< \exp \left[ - \int_0^{\Lambda/b} (d\mathbf{q}) \left( \frac{t + K\mathbf{q}^2}{2} \right) |\mathbf{m}_<|^2 + \mathbf{h} \cdot \mathbf{m}_<(0) \right].$$



# Gaussian Model RG 2

**Rescale**  $\mathbf{x}' = \mathbf{x}/b$  in real space, or  $\mathbf{q}' = b\mathbf{q}$  in momentum space to restore cut-off.

**Renormalise**  $\mathbf{m}'(\mathbf{x}') = \mathbf{m}_<(\mathbf{x}')/\zeta$  or  $\mathbf{m}'(\mathbf{q}') = \mathbf{m}_<(\mathbf{q}')/z$  giving

$$\mathcal{Z} = \mathcal{Z}_> \int D\mathbf{m}'(\mathbf{q}') e^{-\beta H'[\mathbf{m}'(\mathbf{q}')]},$$

$$\beta H' = \int_0^\Lambda (d\mathbf{q}) b^{-d} z^2 \left( \frac{t + Kb^{-2}\mathbf{q}'^2}{2} \right) |\mathbf{m}'|^2 - z\mathbf{h} \cdot \mathbf{m}'(0).$$

**Results**

$$\begin{cases} t' = b^2 t & y_t = 2, \\ h' = b^{1+d/2} h & y_h = 1 + d/2. \end{cases}$$

Both relevant ( $y_t > 0$  and  $y_h > 0$ ).

# Gaussian Model RG 3

Adding a term  $u \int d^d \mathbf{x} m^4$  gives

$$u' = b^{4-d} u.$$

In  $d > 4$   $u$  provides an irrelevant perturbation but in  $d < 4$ , it is relevant (grows under RG). We must therefore include  $u$  in RG.

## Wilson's Perturbative RG

$$\beta H = \underbrace{\beta H_0}_{\text{Gaussian part}} + \underbrace{U}_{\text{Perturbation}}$$

$$\beta H_0 = \int (d\mathbf{q}) \frac{G_0^{-1}}{2} |\mathbf{m}(\mathbf{q})|^2$$

Fourier representation of perturbation

$$\begin{aligned} U &= u \int d^d \mathbf{x} (\mathbf{m} \cdot \mathbf{m})^2 \\ &= u \int (d\mathbf{q}_1)(d\mathbf{q}_2)(d\mathbf{q}_3)(d\mathbf{q}_4) \mathbf{m}(\mathbf{q}_1) \cdot \mathbf{m}(\mathbf{q}_2) \mathbf{m}(\mathbf{q}_3) \cdot \mathbf{m}(\mathbf{q}_4) \\ &\quad \times (2\pi)^d \delta^d(\mathbf{q}_1 + \mathbf{q}_2 + \mathbf{q}_3 + \mathbf{q}_4) \end{aligned}$$

## Wilson's Perturbative RG II

In the partition function

$$\begin{aligned} \mathcal{Z} &= \int D\mathbf{m}_{<}(\mathbf{q}) D\mathbf{m}_{>}(\mathbf{q}) \\ &\exp \left\{ - \int_0^\Lambda \frac{d^d \mathbf{q}}{(2\pi)^d} \left( \frac{t + Kq^2}{2} \right) (|\mathbf{m}_{<}(\mathbf{q})|^2 + |\mathbf{m}_{>}(\mathbf{q})|^2) - U \right\} \\ &= \int D\mathbf{m}_{<}(\mathbf{q}) e^{-\beta H'} \end{aligned}$$

the two sets of modes are mixed by the operator  $U$  and

$$\beta H'[m_{<}] = V \delta f_b^0 + \int_0^{\Lambda/b} \frac{d^d \mathbf{q}}{(2\pi)^d} \left( \frac{t + Kq^2}{2} \right) |m_{<}(\mathbf{q})|^2 - \log \langle e^{U[m_{<}, m_{>}]} \rangle_{m_{>}}$$

## Wilson's Perturbative RG III

Here the partial averages are defined by

$$\langle \mathcal{O} \rangle_{m_{>}} \equiv \int \frac{Dm_{>}(\mathbf{q})}{\mathcal{Z}_{>}} \mathcal{O} \exp \left\{ - \int_{\Lambda/b}^{\Lambda} \frac{d^d \mathbf{q}}{(2\pi)^d} \left( \frac{t + Kq^2}{2} \right) |m_{>}(\mathbf{q})|^2 \right\}$$

and  $\log \langle e^{U[m_{<}, m_{>}]} \rangle_{m_{>}}$  is a cumulant generating function and this perturbative expansion can be calculated with the aid of Feynman diagrams.

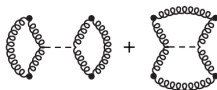
# Wilston's Perturbative RG IV


 $\mathcal{U}[\tilde{m}]$ 


0



0



# Perturbative Results

After coarse-graining, coefficients  $K$  and  $u$  are unchanged, while

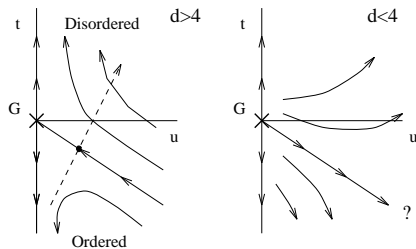
$$t \mapsto \tilde{t} = t + 4u(n+2) \int_{\Lambda/b}^{\Lambda} \frac{d\mathbf{q}}{(2\pi)^d} G_0(\mathbf{q}),$$

the factor of  $4(n+2)$  arising from enumerating all permutations. By setting  $b = e^l$ , for an infinitesimal  $\delta l$ , we find the recursion relations linearised about the fixed point  $t^* = u^* = 0$ , by setting  $t = t^* + \delta t$  and  $u = u^* + \delta u$ , as

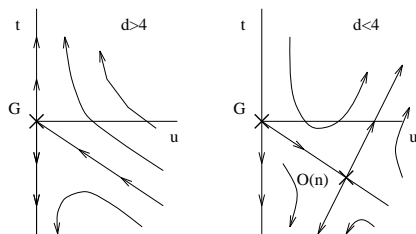
$$\frac{d}{dl} \begin{pmatrix} \delta t \\ \delta u \end{pmatrix} = \begin{pmatrix} 2 & 4(n+2)K_d\Lambda^{d-2}/K \\ 0 & 4-d \end{pmatrix} \begin{pmatrix} \delta t \\ \delta u \end{pmatrix}.$$

# Perturbative Results II

One loop:



Two loop:





# Quantum-classical mapping

Quantum:

$$\hat{H} = \sum_{i=1}^N \frac{\hat{\mathbf{p}}_i^2}{2m} + \hat{V}(\hat{\mathbf{x}}_1, \hat{\mathbf{x}}_2, \dots, \hat{\mathbf{x}}_N),$$

$$\mathcal{Z} = \int \left( \prod_{i=1}^N d^d \mathbf{x}_i \right) \langle \mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N | e^{-\beta \hat{H}} | \mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N \rangle.$$

Classical:

$$\mathcal{Z} = \int_{\mathbf{x}_i(\beta) = \mathbf{x}_i(0)} \mathcal{D}\mathbf{x}_i(\tau) e^{-H[\mathbf{x}_i(\tau)]},$$

$$H[\mathbf{x}_i(\tau)] = \int_0^\beta d\tau \left[ \sum_{i=1}^N \frac{m |\partial_\tau \mathbf{x}_i|^2}{2} + V[\mathbf{x}_i(\tau)] \right].$$

A  $d$ -dimensional quantum system at finite temperature  $\beta^{-1}$  can be mapped onto a  $(d + 1)$ -dimensional classical system

# Path Integral Representation

$$\begin{aligned} \mathcal{Z} &= \int dX \langle X | e^{-\beta \hat{H}} | X \rangle \\ &= \int dX \langle X | e^{-\frac{\beta}{N_\tau} \hat{H}} \mathbf{1} e^{-\frac{\beta}{N_\tau} \hat{H}} \mathbf{1} e^{-\frac{\beta}{N_\tau} \hat{H}} \dots e^{-\frac{\beta}{N_\tau} \hat{H}} | X \rangle \end{aligned}$$

Insert resolution of identities with expanded exponentials:

$$\begin{aligned} \mathcal{Z} &= \int \left( \prod_{i=1}^{N_\tau} dX_i \right) \int \left( \prod_{i=1}^{N_\tau} dP_i \right) \langle X_1 | P_1 \rangle \langle P_1 | \left( 1 - \epsilon \hat{H} \right) | X_2 \rangle \times \\ &\quad \langle X_2 | P_2 \rangle \langle P_2 | \left( 1 - \epsilon \hat{H} \right) | X_3 \rangle \times \dots \times \langle X_{N_\tau} | P_{N_\tau} \rangle \langle P_{N_\tau} | \left( 1 - \epsilon \hat{H} \right) | X_1 \rangle. \end{aligned}$$

$$\mathcal{Z} = \int_{X(\beta)=X(0)} \mathcal{D}X(\tau) \int \mathcal{D}P(\tau) e^{-\int_0^\beta d\tau \left( iP(\tau) \cdot \partial_\tau X(\tau) + \frac{P^2}{2m} + V[X(\tau)] \right)}$$

# $O(2)$ Rotor

$$\hat{H}_{O(2)} = \sum_i \frac{\hat{L}_i^2}{2m} - g \sum_{\langle ij \rangle} \hat{\mathbf{x}}_i \cdot \hat{\mathbf{x}}_j,$$

$$\mathcal{Z}_{O(2)} = \int_{\phi_i(\beta) - \phi_i(0) = 2\pi n} \mathcal{D}\phi_i(\tau) e^{-H[\phi_i(\tau)]},$$

$$H[\phi_i(\tau)] = \int_0^\beta d\tau \left[ \sum_{i=1}^N \frac{m(\partial_\tau \phi_i)^2}{2} - g \sum_{\langle ij \rangle} \cos(\phi_i - \phi_j) \right],$$

At zero temperature ( $\beta \rightarrow \infty$ ) the  $d$ -dimensional quantum system maps onto  $(d+1)$ -dimensional classical system with one Goldstone mode with  $\omega = \sqrt{g/m}|q|$ . Mermin-Wagner theorem: no LRO if  $d \leq 1$  — verify by expanding about ordered state and calculating  $\langle \phi_i^2(0) \rangle$ .